



Universiteit Utrecht





### Web tracking, consent pop-ups and dark patterns: legal and technical perspectives

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CIF Seminars @ KU Leuven 6<sup>th</sup> May 2021 Joint work with Célestin Matte Colin Gray Damian Clifford Michael Toth Midas Nouwens Vincent Roca



### **Outline of this talk**





Why do we see consent pop-ups at all ?



How can we understand when a pop-up is compliant?



When does Web tracking violate the law?



Analysis of **grey design choices** potentially violating legal requirements for consent







## Why do we see consent pop-ups at all ?!

### cookies

This page uses cookies: Read more

Alright



## Why do we see consent pop-ups at all ?!





Applies to any form of web tracking

(such as cookies and similar technologies) that collect/store data of users



Art. 5(3): **consent** asked before processing data through tracking technologies



### consent pop-ups common method to collect consent



# How can we understand when a pop-up is compliant?

### It is easy, read the GDPR!

## **Requirements for consent pop-ups**



### Consent must be:

- 1. Prior to any data collection
- 2. Freely given
- 3. Specific
- 4 Informed
- 5. Unambiguous
- 6. Readable and accessible
- 7 Revocable

### How to audit for compliance?

• Detect all Web tracking technologies Manual, technical tools, user studies



### Technology Regulation

#### Are cookie banners indeed compliant with the law? Deciphering EU legal requirements on consent and technical means to verify compliance of cookie banners

Cristiana Santos\*, Natalija Bielova\*\* and Célestin Matte\*\*\*

consent, cookie banners, GDPR, ePrivacy Directive, web tracking technologies

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In this paper, we describe how cookie banners, as a consent mechanism in web applications, should be designed and implemented to be compliant with the ePrivacy Directive and the GDPR, defining 22 legal requirements. While some are provided by legal sources, others result from the domain expertise of computer scientists. We perform a technical assessment of whether technical (with computer science tools), manual (with a human operator) or user studies verification is needed. We show that it is not possible to assess legal compliance for the majority of requirements because of the current architecture of the web. With this approach, we aim to support policy makers assessing compliance in cookie banners, especially under the current revision of the EU ePrivacy framework.

#### 1. Introduction

The ePrivacy Directive' 2002/58/EC, as amended by Directive 2009/136/EC, stipulates the need for consent for the storage of or access to cookies (and any tracking technology, e.g. device fingerprinting) on the user's terminal equipment, as the lawfulness ground, pursuant to Article 5(3) thereof. The rationale behind this obligation aims to give users control of their data. Hence, website publishers processing personal data are duty-bound to collect consent. Consequently, an increasing number of websites now display (cookie) consent hanners

However, there is no established canonical form for the consent request. It is clear from Recital 17 of the ePrivacy Directive (hereinafter ePD) that a user's consent may be given by any appropriate method. Website operators are free to use or develop consent flows that suit their organization, as long as this consent can be deemed

In this paper we will only regard to the recent amended version of the ePrivacy Directive, the Directive 2009/136/EC of the European Parliament and of the Council of 25 November 2009 amending Directive 2002/22/EC on universal service and users' rights relating to electronic communications networks and services, Directive 2002/58/EC concerning the processing of personal data and the protection of privacy in the electronic communications sector and Regulation (EC) No 2006/2004 on cooperation between national authorities responsible for the enforcement of consumer protect tion laws (Text with EEA relevance) OJ L 337, 11-36 (hereinafter named "ePD")

Jannick Sørensen, Sokol Kosta (2019), "Before and After GDPR: The Changes in Third Party Presence at Public and Private European Websites", Proceedings of the World Wide Web Conference, ACM, NY, USA, 1590-1600.

valid under EU legislation.34 As such, excessive focus is being placed on the manufacturing of consent, taken up by consent management platforms and tools. The most well-known way to collect consent is through "cookie banners", also often referred to as prompts, overlays, cookie bars, or cookie pop-up-boxes that pop up or slide atop websites prominently.<sup>5</sup> Their design and functionality differ - the simplest banners merely state that the website uses cookies without any option. whereas the most complex ones allow users to individually (de)select each third-party service used by the website.

Amid information overload and the development of manipulative dark patterns<sup>6 7 8</sup> that lead to nudging users to consent, data subjects are

In this paper, we provide many excerpts of the opinions and guidelines of the Article 29 Working Party. For readability and presentation purposes, we convey in the text of the article the abbreviation "29WP", followed by the reference number of each opinion. Even if the European Data Protection Board has endorsed the endorsed the GDPR related WP29 Guidelines, for simplicity purposes, we only mention Article 29 Working Party.

Article 29 Working Party, "Guidelines on consent under Regulatio 2016/679" (WP259 rev.01, 10 April 2018).

For example, the French DPA (henceforth named CNIL) decided to remove its cookie banner and to leave no tracer until the user has consented by going actively to the cookie management menu or directly through the content pages. This choice not to use a banner is neither an obligation not a recommendation for other websites that are free to adopt solutions tail lored to their situation, in compliance with Regulations, CNIL (2019), "The legal framework relating to consent has evolved, and so does the website of the CNIL" www.cnil.fr/en/legal-framework-relating-consent-has-evolved and-so-does-website-cnil accessed 7 May 2020.

Harry Brignull, "What are Dark Patterns?" (2018) https://darkpatterns.org accessed 7 May 2020. Colin M. Gray, Yubo Kou, Bryan Battles, Joseph Hoggatt, and Austin L

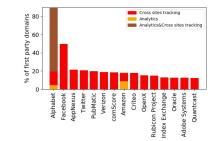
Are cookie banners indeed compliant with the law? Deciphering EU legal requirements on consent and technical means to verify compliance of cookie banners. Cristiana Santos, Nataliia Bielova and Célestin Matte. International Journal on Technology and Regulation, 2020. https://techreg.org/index.php/techreg/article/view/43

22 requirements for consent pop-up compliance!

	Requirements	So	Sources at low-level requirement		
High-Level	Low-Level Requirements				
Requirements		Binding	Non-binding	Interpret	ation:
Prior	R1 Prior to storing an identifier				Legal (L) o
	R2 Prior to sending an identifier	**^**	od n h		
Free	R3 No merging into a contract	* GDPR * * * *			er Science (CS
	R4 No tracking walls	★ ePrivacy	European Data Protection Boa		
Specific	R5 Separate consent	European *		~ ~	
Informed	R6 Accessibility of information page	T (partia		V	
	R7 Necessary information on BTT	M (fully) or T (partia	illy) √	√	
	R8 Information on consent banner configuration	M (fully) or T (partia	illy) -	1	-
	R9 Information on the data controller	M (fully) or T (partia	illy) √	√	-
	R10 Information on rights	M (fully) or T (partia	lly) √	√	-
Unambiguous	R11 Affirmative action design	Combination of M ar (partially)	nd⊤ √	V	Ť
	R12 Configurable banner	M or T (partially)	•	V	L
	R13 Balanced choice	M (fully)	· ·	√	L
	R14 Post-consent registration	T (partially)		√	CS
	R15 Correct consent registration	Combination of M ar (partially)	nd T -	~	CS
Readable and	R16 Distinguishable	M (fully) or T (partia	illy) √	√	
accessible	R17 Intelligible	U	√	√	
	R18 Accessible	U	√	√	
	R19 Clear and plain language	U	√	~	
	R20 No consent wall	M (fully) or T (partia	illy) -	√	L
Revocable	R21 Possible to change in the future	M (fully)	√	√	
	R22 Delete "consent cookie" and com-	Not possible			CS



## When does Web tracking violate the law?





## To answer this question, we need to know the purpose of each tracking technology!

Purposes exempted of consent Purposes subject of consent



### Exempted purposes (Article 5(3) ePD) "necessary"



## **transmit a communication** over an electronic communications network

cookies used to help web pages to load faster and to route information over a network (load balancing)



## provide a service, requested by the user, to access content



### Exempted purposes: necessary for providing a service ... because without it, no service!



- e-commerce websites: for keeping track of my shopping cart authentication: keeping me logged in, so users don't have to remember my login password, eg. email services, eBanking service
- **user interface (UI) preferences** (customization): language, display format (nr of results), personalized services



web audience measuring of a website, without profiling users by third parties, e.g. nr users, click/person



user-security cookies: protect login system from abuses



multimedia session cookies: render image, audio/video content



> Non-necessary

Always Enabled

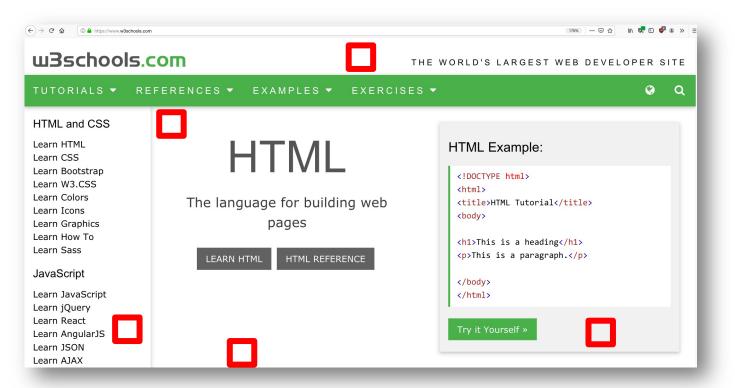






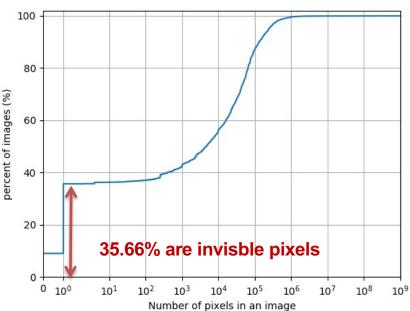
## But how to detect tracking with certainty?

### **Invisible pixels**



### **Data collection with OpenWPM**

- Crawl Top 10,000 Alexa domains in February 2019
- For each domain we visit
  - Homepage + 10 first links
- Successfully crawled:
  - 8,744 domains, 84,658 pages
- Results:
  - 2,297,716 images <100KB collected</li>
  - 35.66% images are invisible
  - 95% domains contain at least one invisible image







### Invisible pixels are perfect suspect for tracking and widely present on the Web

## However all types of content track users!



## What content is tracking users with cookies?

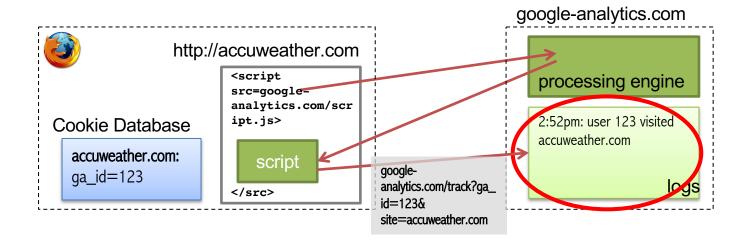
- 4,216,454 third-party requests
- 2,724,020 (64.6%) third-party requests are tracking

Content type	% requests	
Script	34.36%	
Invisible images	23.34 %	
Text/html	20.01%	
Big images	8.54 %	
Application/json	4.32%	

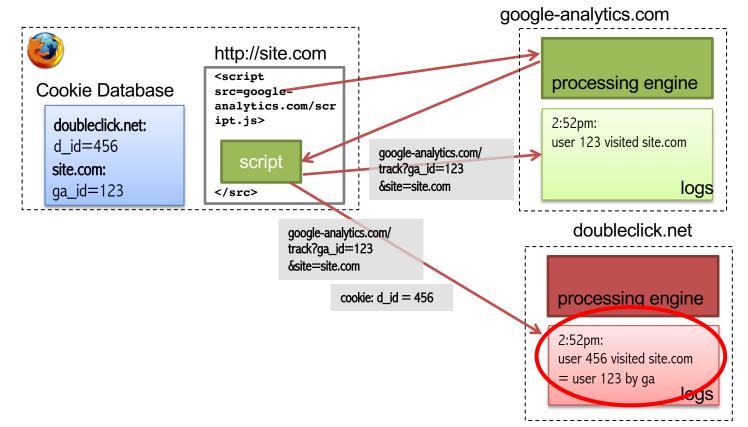
Top 5 types of content used in the 2,724,020 third-party tracking requests.

## **Analytics (within-site tracking only)**

- Uses first-party cookies to track repeat visits to a site.
- Is not able to collect user's browsing history across sites.



## First party analytics cookies synchronized with third party cookies



## First party analytics cookies synchronized with third party cookies

- Detected on 67.96% of domains
- We found 17,415 different partners involved in synching

Partners	# requests			
First party cookie synced through an				
intermediate service				
$google-analytics.com \to doubleclick.net$	8,297			
Direct First to third party cookie	syncing			
hibapress.com $ ightarrow$ criteo.com	460			
alleng.org $ ightarrow$ yandex.ru	332			
arstechnica.com $ ightarrow$ condenastdigital.com	243			
thewindowsclub.com $ ightarrow$ doubleclick.net	228			
digit.in $ ightarrow$ doubleclick.net	224			
misionesonline.net $ ightarrow$ doubleclick.net	221			
wired.com $ ightarrow$ condenastdigital.com	219			
newyorker.com $ ightarrow$ condenastdigital.com	218			
uol.com.br $ ightarrow$ tailtarget.com	198			

Table 4. First to third party cookie syncing: Top  $10\ {\rm partners}.$ 



### Configure Analytics to display Demographics and Interests data

Before you can see or work with Demographics and Interests data in Analytics, you need to:

1. Enable Advertising Reporting Features for your property

2. Enable the Demographics and Interests reports for the property

#### Where Analytics gets the data

Once you update Analytics to support Advertising Reporting Features, Analytics collects Demographics and Interests data from the following sources:

Source	Applies to	Condition	Result
Third-party DoubleClick cookie	Web- browser activity only	Cookie is present	Analytics collects any demographic and interests information available in the cookie
Android Advertising ID	App activity only	You update the Analytics tracking code in an Android app to collect the Advertising ID	Analytics generates an identifier based on the ID that includes demographic and interests information associated with users' app activity
iOS Identifier for Advertisers (IDFA)	App activity only	You update the Analytics tracking code in an iOS app to collect the IDFA	Analytics generates an identifier based on the IDFA that includes demographic and interests information associated with users' app activity

Demographics and interests data may only be available for a subset of your users, and may not represent the overall composition of your traffic: Analytics cannot collect the demographics and interests information if the DoubleClick cookie or the Device Advertising ID is not present, or if no activity profile is included.

The graphs and the first row of the Sessions column in the Overview report display the percentage of your overall data that is represented (for example, Age - 41.39% of total sessions).

Neither analytics.js nor AMP tracking collects demographics and interests data.

Demographics and Interests

About Demographics and Interests

- Enable Demographics and Interests reports
- Analyze Demographics and Interests data

#### Get the guide

Learn how Google Analytics can improve your Google Ads results.



### CNIL sanction against CARREFOUR FRANCE (18 November 2020)

First party Google Analytics cookies detected

175. La formation restreinte relève qu'en l'espèce, le dépôt de trente-neuf cookies était automatique dès l'arrivée sur la page d'accueil du site, et avant tout action de l'utilisateur. Parmi ces trente-neuf cookies, trois appartenaient à la solution Google Analytics (cookies \_gid , \_ga et \_gat\_gtag\_UA\_3928615\_46 ).

176. S'agissant de ces trois cookies, dits *Google analytics*, la formation restreinte souligne qu'il ne fait pas débat que les données collectées par ces cookies peuvent être recoupées avec des données issues d'autres traitements pour poursuivre des finalités différentes que celles limitativement prévues par l'article 82 de la loi informatique et libertés , notamment pour mener à bien de la publicité personnalisée. En effet, il ressort du guide pratique Association des comptes Analytics et Google Ads , mis en ligne sur un des sites de la société Google, que *l'intégration de Google Analytics dans Google Ads (...)* permet [aux annonceurs] de savoir précisément dans quelle mesure [leurs] annonces se traduisent par des conversions, puis d'ajuster rapidement les conséquence. [Les annonceurs peuvent] également combiner les produits afin d'identifier [leurs] segi susciter l'intérêt de ces utilisateurs à l'aide de messages personnalisés .

177. Dès lors, ces cookies n'ont pas pour finalité exclusive de permettre ou de faciliter la communic **advertisers to collect more data** sont pas strictement nécessaires à la fourniture du service. Leur dépôt aurait donc dû obliger la société à recueillir préalablement le consentement des utilisateurs.

Consent is needed for such cookies (while not necessary for pure analytics!)

https://www.legifrance.gouv.fr/cnil/id/CNILTEXT000042563756 accessed on Dec 17th, 2020



### **Computer scientists and DPAs detect tracking...**

### But how to know the purposes of trackers?





Principle of Purpose Specification (art. 5(1)(b) GDPR, WP203)



Precisely and clearly defined



Unambiguous; no doubt in their meaning or intent; expressed, not hidden



Conform to a legal basis, e.g. consent for using cookies and similar technologies

On Compliance of Cookie Purposes with the Purpose Specification Principle. Imane Fouad, Cristiana Santos, Feras Al Kassar, Nataliia Bielova and Stefano Calzavara. International Workshop on Privacy Engineering (IWPE 2020).

### We analysed cookie policies for 20,000 cookies...





- only 13% cookies are described in cookie policies
- cookie policies should be available on all websites

Available



Specific

only 5% cookies are explicit

- common cookies purposes are not specific!

EDPB, DPAs should pre-define and standardize purposes

On Compliance of Cookie Purposes with the Purpose Specification Principle. Imane Fouad, Cristiana Santos, Feras Al Kassar, Nataliia Bielova and Stefano Calzavara. International Workshop on Privacy Engineering (IWPE 2020).



## Analysis of grey design choices potentially violating legal requirements for consent









### applied to consent banners

### Dark Patterns and the Legal Requirements of Consent Banners: An Interaction Criticism Perspective

#### Colin M. Gray, Cristiana Santos, Nataliia Bielova, Michael Toth, Damian Clifford

User engagement with data privacy and security through consent banners has become a ubiquitous part of interacting with internet services. While previous work has addressed consent banners from either interaction design, legal, and ethicsfocused perspectives, little research addresses the connections among multiple disciplinary approaches, including tensions and opportunities that transcend disciplinary boundaries. In this paper, we draw together perspectives and commentary from HCl, design, privacy and data protection, and legal research communities, using the language and strategies of "dark patterns" to perform an interaction criticism reading of three different types of consent banners. Our analysis builds upon designer, interface, user, and social context lenses to raise tensions and synergies that arise together in complex, contingent, and conflicting ways in the act of designing consent banners. We conclude with opportunities for transdisciplinary dialogue across legal, ethical, computer science, and interactive systems scholarship to translate matters of ethical concern into public policy.



**Designer**: Colin M. Gray expertise in UX, UI, ethics, dark patterns

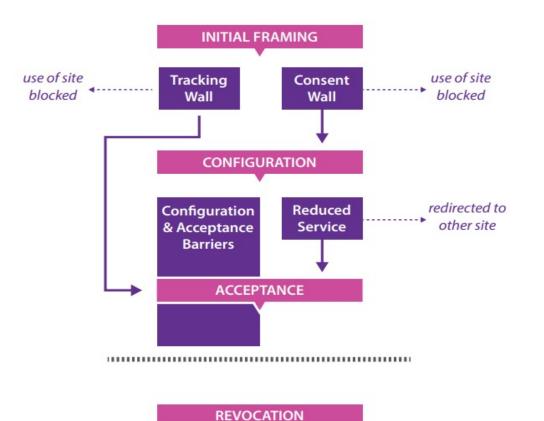


**Legal scholars:** Cristiana Santos, Damian Clifford expertise in EU Data Protection law

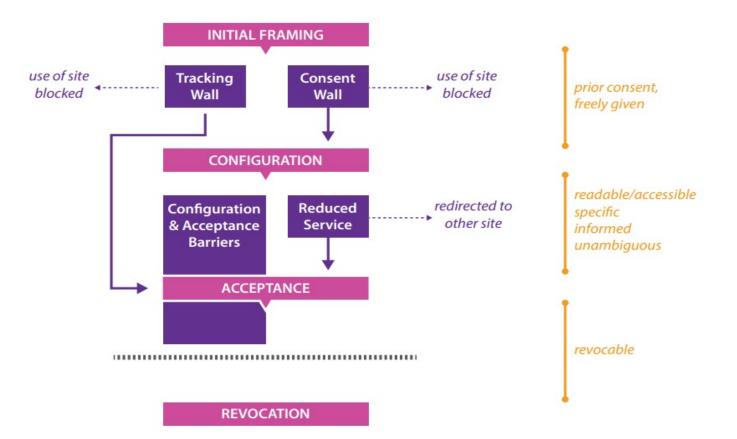


**Computer Scientists:** Nataliia Bielova, Michael Toth expertise in web privacy measurement





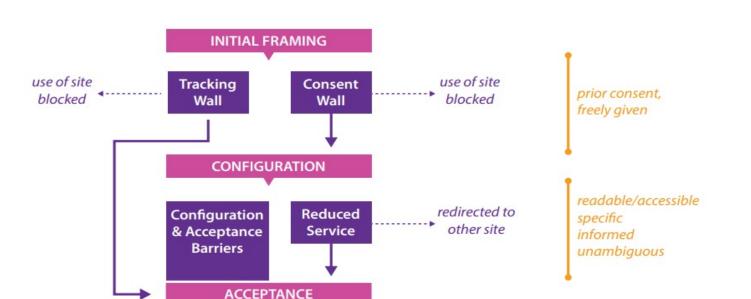




REVOCATION

revocable

DARK PATTERN



forced action

interface interference obstruction sneaking

Flowchart describing the forms of manipulation in relation to the consent task flow, legal consent requirements, and dark patterns 29

### Grey design choices – grey zone



**Consent Wall** 

**Reduced Service** 

30

### Grey design choices – grey zone



### **Consent Wall**

**Reduced Service** 

31





🔒 bloomberg.	<b>com</b> /europe			🖈 😞 Inco	
erg the Company & Its Products ▼ │ ! Q Search		<b>Blog</b> Manage C	The user can indeed select between acceptance and		
D -0.66% RUSSE	es Stocks Ra ELL 2000 INDEX 20	Your choices regarding cookies on thi You can exercise choice and determine how y switching the consent toggles on or off. Each p and our partners use your information. Please consent to process your personal information, Select personalised content Select personalised ads Select basic ads	refusa BUT the <b>use of</b> <b>is blocked</b> unti made	al; <b>the website</b> I a choice is	
8 Warns of rotests		Create a personalised ads profile Create a personalised content profile	ľ v	Ell Lake The Dark Side of Going Solar	
isburse llion to s It Seeks		Measure ad performance	· ·	Robert A. George The RNC Is Still All In for Trump	
Debt ox Search es as	Markets Asia Stoc Mixed Aft Drop; Dol Firms: Ma Wrap	Save & Close	ept All Reject All	Shuli Ren China's Very Distressed Developers Are Also Very Clever Mark Gongloff The Capitol Attack Was a Pivotal	

## What does the law say about 'consent wall'?





Art. 7(2) - request for consent shall be presented in a (...) easily accessible form

Recital 32 - consent request should not be **unnecessarily disruptive** to the use of the service for which it is provided

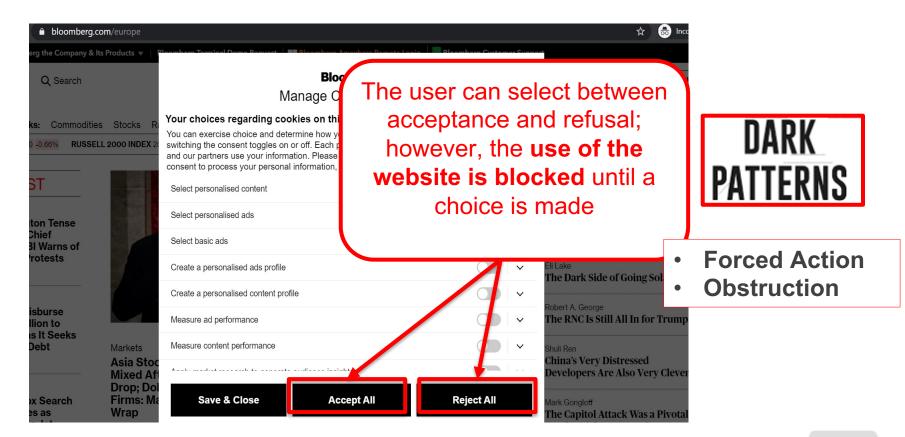


- Confusing, unnecessarily disruptive of the user experience
- The website should be accessible even if the user didn't respond to request for consent



Tension between user interaction and easily accessible consent request: how enforceable/illegal is this design choice?

## Dark patterns related to 'consent wall'



### Grey design choices – grey zone



### **Consent Wall**

**Reduced Service** 

## What is 'Reduced Service'?



#### 🗧 🌔 medicalnewstoday.com/privacy-settings

### **Privacy Settings**

You can opt-out or change your preferences at any time by clicking on "Privacy Settings" in the footer at the

This page explains how we and our partners use and process your personal data processing by our partners. However, because we need to collect and process y to support our business, we request that you allow cookies in order to access all provide you the full site experience if you disallow any purposes, features, or part that shows 10 of our most popular articles without ads, cookies, or tracking techn

Partners

+ IAB TCF Partner

DISALLOW ALL ALLOW ALL AND CONTINUE TO SITE

C 🏦 anor..nealthline.com

If the user refuses consent, she is redirected to a different website \*https://anon.healthline.com/\*,

It is a reduced version of the original website, with only <mark>10 pre-selected pages</mark> available to the user

healthline

You're seeing this version because you disallowed cookies. Update your privacy settings.

## Welcome to our ad-free, tracking-free version of Healthline

We detect that you are in one of the member countries of the EU/EEA, which is now subject to the General Data Protection Regulation (GDPR). Unfortunately, a tracking-free version of our full website is currently unavailable in these countries. We are engaged on the issue and committed to looking at options that support our full range of digital offerings to the EU market.

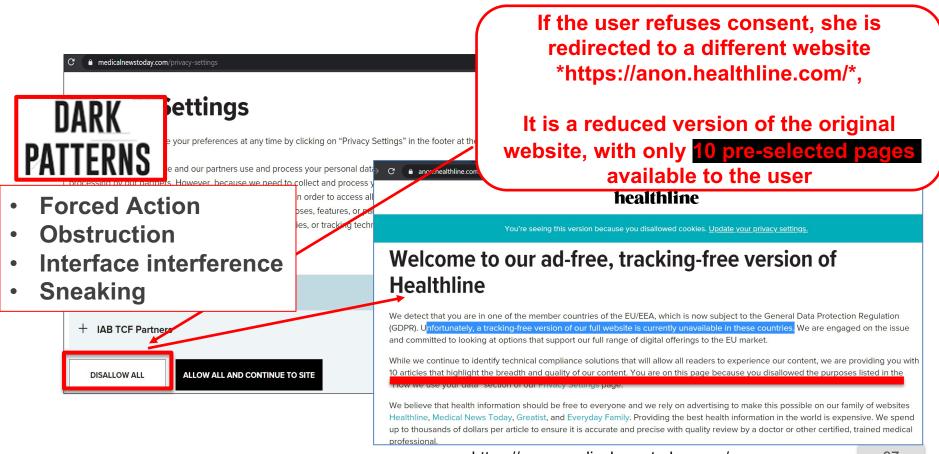
While we continue to identify technical compliance solutions that will allow all readers to experience our content, we are providing you with 10 articles that highlight the breadth and quality of our content. You are on this page because you disallowed the purposes listed in the now we use your data section of our rhyacy sectings page.

We believe that health information should be free to everyone and we rely on advertising to make this possible on our family of websites Healthline, Medical News Today, Greatist, and Everyday Family. Providing the best health information in the world is expensive. We spend up to thousands of dollars per article to ensure it is accurate and precise with quality review by a doctor or other certified, trained medical professional.

### https://www.medicalnewstoday.com/

# What is 'Reduced Service'?





# Is 'Reduced Service' illegal or acceptable?





Arts. 4(11), 7(4): consent freely given Rec. 42: without detriment



Rec. 25: access to functionalities cannot be made dependent on consent, when not necessary to provide service requested by user



•No pressure, deception, persuasion coercion



 Freedom to reject non-necessary cookies without detriment



"Certain cases may exist where lack of acceptance of the use of cookies prevents (...) partial or full use of the service, provided that users are **adequately informed** on it, an **alternative** of access to the service without the need to accept the use of cookies is provided. (...) the services of both alternatives must be genuinely equivalent, and equivalent services offered by an external entity with regard to the editor will not be accepted"

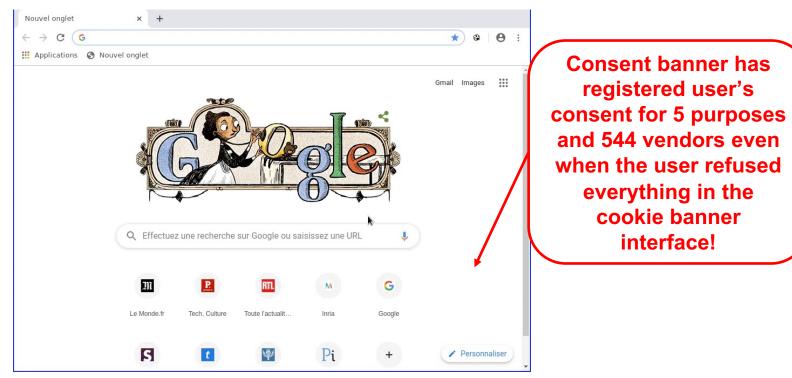


## Is it enough to look at the design of a banner?

# What happens behind the interface of a cookie banner?

Do Cookie Banners Respect my Choice? Measuring Legal Compliance of Banners from IAB Europe's Transparency and Consent Framework Célestin Matte, Nataliia Bielova, Cristiana Santos. *IEEE Symposium on Security and Privacy (IEEE S&P 2020)*.

# Violation of 'Correct Consent Registration'



Video availbale at https://www-sop.inria.fr/members/Nataliia.Bielova/cookiebanners/vid/nonrespect\_flashscore\_com.mp4

# Websites using consent banners of







### Violations found on websites with TCF banners

- 27 websites register your acceptance even if you said "no"
- 141 websites store your consent **before you made your choice**
- 38 websites do not allow to say "no"
- 236 websites nudge users by pre-selecting options



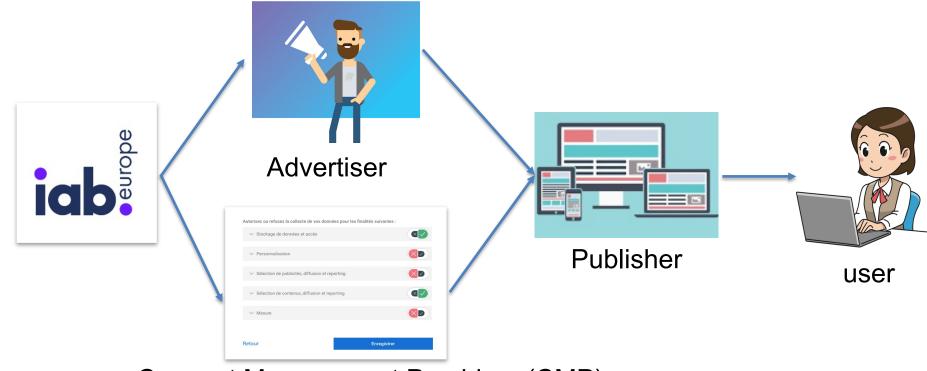
• NOYB filed 3 complaints to the CNIL based on our research!

### We have impact!

- Most popular websites have fixed their practices
- See historical videos here: <u>https://www-sop.inria.fr/members/Nataliia.Bielova/cookiebanners/</u>

Do Cookie Banners Respect my Choice? Measuring Legal Compliance of Banners from IAB Europe's Transparency and Consent Framework Célestin Matte, Nataliia Bielova, Cristiana Santos. *IEEE Symposium on Security and Privacy (IEEE S&P 2020)*.

### IAB Europe Transparency & Consent Framework



Consent Management Providers (CMP)

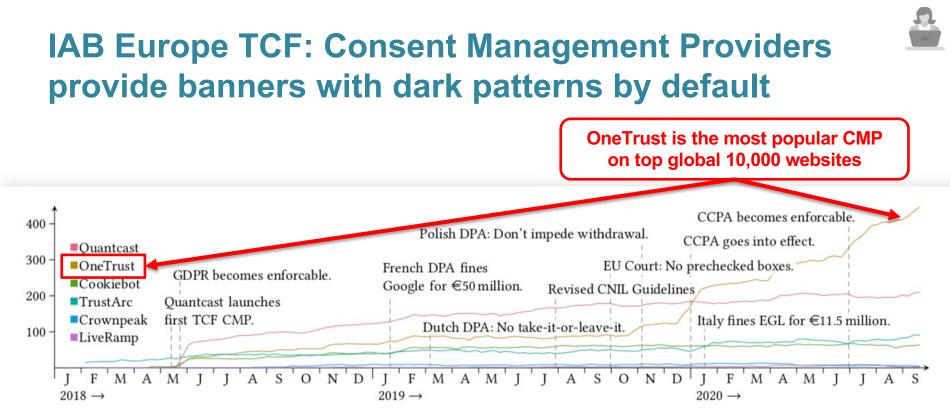


Figure 6: Number of websites in the Tranco 10k toplist that embed a CMP. We include a non-exhaustive timeline of events with relevance to the GDPR and the CCPA.

Measuring the Emergence of Consent Management on the Web. Hils, M., Woods, D.W., and Böhme, R. Internet Measurement Conference (IMC'20). 43

### **OneTrust**

Top level of the page: easily accessible "Allow All"

Bottom of the screen: hardly accessible "Reject All" and "Confirm My Choices"

#### CookiePro

Allow All

**Manage Preferences** 

Create a personalised ads profile

Object to Legitimate Interests

Measure content performance

Object to Legitimate Interests

The performance and effectivene

with can be measured

audience insights

visit sites/apps and view ads.

 Object to Legitimate Interests

 List of IAB Vendors | View Full Legal Text C\*

 Select personalised content

ersonalised ads that are relevant to you.

List of IAB Vendors, View Full Legal Text 📝

**About Your Privacy** 

We process your data to deliver content or advertisements and

measure the delivery of such content or advertisements to extract

purpose below or at a partner level in the link under each purpose.

These choices will be signaled to our vendors participating in the Transparency and Consent Framework. More information

A profile can be built about you and your interests to show you

Market research can be used to learn more about the audie

Demonstrand content can be shown to you based on a profil

of content that you see or interact

insights about our website. We share this information with our partners

on the basis of consent and legitimate interest. You may exercise your right to consent or object to a legitimate interest, based on a specific

×

#### identification



Your device can be identified based on a scan of your device's unique combination of characteristics.

#### List of IAB Vendors | View Full Legal Text 🛃

#### Match and combine offline data sources

#### **Always Active**

Data from offline data sources can be combined with your online activity in support of one or more purposes

#### List of IAB Vendors | View Full Legal Text 🛃

Ensure security, prevent fraud,	Always Active	
and debug		

Your data can be used to monitor for and prevent fraudulent activity, and ensure systems and processes work properly and securely.

#### List of IAB Vendors | View Full Legal Text 🛃

Receive and use automaticallysent device characteristics for identification **Always Active** 

Your device might be distinguished from other devices based on information it automatically sends, such as IP address or browser type.

#### List of IAB Vendors | View Full Legal Text 🛃

Link different devices

#### **Always Active**

Different devices can be determined as belonging to you or your household in support of one or more of purposes.

#### List of IAB Vendors | View Full Legal Text 🛃

	Technically delive content	er ads or	Always Active	
	Your device can recei and interact with ads	ive and send information that a and content.	allows you to see	
ences who	List of IAB Vendors   View Full Legal Text 🗗			
	Reject All	Confirm My Choices		
			Powered by OneTrust	

OneTrust default banner, captured on 13 Jan. 2021

Consent Management Platforms under the GDPR: processors or controllers? Cristiana Santos, Michael Toth, Nataliia Bielova, Midas Nouwens, Vincent Roca. Soon to be discussed at **ConPro'21**, accepted for publication at **Annual Privacy Forum (APF'21)**.

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### **OneTrust**

Top level of the page: easily accessible "Allow All"

Bottom of the screen: hardly accessible "Reject All" and "Confirm My Choices"



- Obstruction
- False Hierarchy
- Sneaking

#### CookiePro

Allow All

**Manage Preferences** 

Create a personalised ads profile

Object to Legitimate Interests

Measure content performance

Object to Legitimate Interests
List of IAB Vendors | View Full Legal Text 📿

Apply market research to generate

List of IAB Vendors | View Full Legal Text 🛃

Select personalised content

The performance and effectivene

with can be measured

audience insights

visit sites/apps and view ads.
Object to Legitimate Interests

ersonalised ads that are relevant to you.

List of IAB Vendors, View Full Legal Text 📝

**About Your Privacy** 

We process your data to deliver content or advertisements and

measure the delivery of such content or advertisements to extract

purpose below or at a partner level in the link under each purpose.

These choices will be signaled to our vendors participating in the Transparency and Consent Framework. More information

A profile can be built about you and your interests to show you

of content that you see or interact

insights about our website. We share this information with our partners

on the basis of consent and legitimate interest. You may exercise your right to consent or object to a legitimate interest, based on a specific

×

#### identification



Your device can be identified based on a scan of your device's unique combination of characteristics.

#### List of IAB Vendors | View Full Legal Text 🛃

#### Match and combine offline data sources

#### **Always Active**

Data from offline data sources can be combined with your online activity in support of one or more purposes

#### List of IAB Vendors | View Full Legal Text 🛃

Ensure security, prevent fraud,	Always Active	
and debug		

Your data can be used to monitor for and prevent fraudulent activity, and ensure systems and processes work properly and securely.

#### List of IAB Vendors | View Full Legal Text 🛃

Receive and use automaticallysent device characteristics for identification **Always Active** 

Your device might be distinguished from other devices based on information it automatically sends, such as IP address or browser type.

#### List of IAB Vendors | View Full Legal Text 🛃

Link different devices

#### **Always Active**

Different devices can be determined as belonging to you or your household in support of one or more of purposes.

#### List of IAB Vendors | View Full Legal Text 🛃

Technically deliver content	r ads or	Always Active
Your device can receiv and interact with ads	ve and send information that and content.	allows you to see
List of IAB Vendors   Vie	ew Full Legal Text 🔀	
Reject All	Confirm My Choices	
		Powered by OneTrust

OneTrust default banner, captured on 13 Jan. 2021

Consent Management Platforms under the GDPR: processors or controllers? Cristiana Santos, Michael Toth, Nataliia Bielova, Midas Nouwens, Vincent Roca. Soon to be discussed at **ConPro'21**, accepted for publication at **Annual Privacy Forum (APF'21)**.

Demonstrand content can be shown to you based on a profile about you

Market research can be used to learn more about the audiences who



# What's next?

# Auditing legal compliance of websites





Data controllers

need to declare all the third parties and the **purposes of all** the tracking technologies they use



want scalable auditing to ensure compliance

DPOs



need precise and scalable auditing to enable enforcement, and to react towards complaints they receive daily

# How can we improve the situation?

**Collaborate!** 

embrace interdisciplinary research between Law, Computer Science, Design and other fields



Contribute to public consultations!

 ✓ "Cookies and other trackers" to the CNIL, and
 ✓ "Concepts of controller and processor in the GDPR" to the European Data Protection Board (EDPB)
 ✓ "On the use of cookies and other tracking tools" to the Italian DPA Garante Privacy

Talk to DPAs!researchers and DPAs should collaborate – check our<br/>interview at the LINC of the CNIL on consent pop-ups!

# Share ideas & contacts: help us change the consent pop-ups practices in the EU and the world!

## Thank you!

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